



## The significance of CD1d expression in diagnosis of chronic lymphocytic leukemia and its value as a prognostic marker

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### Abstract

**Back ground** Chronic lymphocytic leukemia (CLL) is the most common type of leukemia in adults, its clinical course is highly heterogeneous. Proper diagnosis and discrimination of CLL from other B-chronic lymphoproliferative disorders (B-CLPDs), as well as prediction of disease outcome are very helpful in patient management and therapeutic decision-making.

**Methods** CD1d expression was measured in 43 newly diagnosed B-CLPDs patients including: 20 CLL, 10 MCL and 13 other B-CLPDs, and 10 age- and sex- matched controls.

**Results** Median CD1d % expression in CLL patients (16% [IQR; 9-31]) was significantly lower than normal controls and B-CLPDs ( $p < 0.001$ ). CD1d cut off value  $< 38\%$  can differentiate CLL from MCL and other B-CLPDs with high sensitivity and specificity. CD1d expression was significantly higher in CLL patients with advanced Rai stages ( $p < 0.001$ ), high CD38 expression (31 [20-35] versus, 9 [7.5=12];  $p < 0.001$ ), poor cytogenetic abnormalities compared with CLL patients without poor cytogenetic abnormalities (15 [8.2 - 32] versus, 7.3 [2 - 16.6];  $p = 0.038$ ).

**Conclusion** CD1d is a useful diagnostic marker that can differentiate CLL from MCL and other B-CLPDs. Higher CD1d expression in CLL is associated with poor prognosis and can define a subpopulation with more aggressive disease.

**Key words** CD1d, CLL, Diagnosis, prognostic marker.

### Introduction

B-Cell chronic lymphoproliferative disorders (B-CLPDs) with leukemic manifestations comprise a heterogeneous group of diseases arising from the clonal expansion of mature B lymphocytes at

diverse stages of differentiation. The accurate discrimination of each separate B-CLPD entity is of paramount importance because the prognosis and treatment differ widely for the different types [1,2].

Chronic lymphocytic leukemia (CLL) is the most common type of leukemia in adults <sup>[3]</sup>. Flow cytometric scoring and pattern recognition recommendations have been developed to diagnose and distinguish CLL from other B-CLPDs according to the World Health Organization classification (WHO) <sup>[4]</sup>. Nevertheless, the biologic variability of B-CLPDs, the paucity of disease-specific markers and/or immunophenotypic patterns, and the lack of standardized panels and analysis strategies compromise the diagnostic efficiency of immunophenotyping <sup>[5]</sup>. Accordingly, although in most cases of typical CLL and MCL the immunophenotypic diagnosis is straightforward, an appreciable proportion of CLL cases fails to be identified with the classical scoring system, resulting in misclassification <sup>[6]</sup>, while on the other hand, many otherwise typical MCL cases may, in fact, represent CLL <sup>[7]</sup>.

Chronic lymphocytic leukemia is an incurable disease, yet the clinical course is highly heterogeneous; some patients dying from their disease within months, while others have a normal life expectancy. Predicting the disease outcome is therefore very helpful in patient management and therapeutic decision-making <sup>[8]</sup>. At diagnosis, the determination of the correct type of B cell disease associated with a precise outcome prediction currently depends on the interpretation of flow cytometry, cytogenetic and molecular analyses by the corresponding experts, i.e. hematologists, cytogeneticists and pathologists. These methods are costly, and labor- and time-intensive. Cheaper, objective and rapid techniques are therefore warranted <sup>[9]</sup>.

CD1d is a non-polymorphic HLA class I-like, B2-microglobulin (B2M) associated glycoprotein that present lipids and glycolipids at the cell surface for recognition by immunoregulatory T-lymphocytes, the natural killer (NK) cells <sup>[10]</sup>. CD1d is expressed widely in normal hematopoietic and nonhematopoietic cells such as thymocytes, monocytes, macrophages, primitive hematopoietic stem cells, keratinocytes, and

hepatocytes, whereas normal peripheral blood B cells exhibit constitutive expression of CD1d. As regards hematopoietic malignancies, myeloid and lymphoid acute leukemias variably express CD1d <sup>[11]</sup>. Nevertheless, CD1d expression has not been widely studied in B-CLPDs. This work aims to evaluate the diagnostic usefulness of CD1d in differentiation of CLL from other B-CLPD, as well as, assessment of its prognostic value in CLL.

### Subject and methods

This study was carried out on 43 newly diagnosed patients with chronic lymphoproliferative neoplasms, attending the Hematology/Oncology Unit, Ain Shams University Hospitals. Another 10 age- and sex- matched healthy adults were enrolled as a control. Informed consent was obtained from patients and controls before enrollment. This study was approved by the ethical committee of Ain Shams University.

All the patients were subjected to full clinical history taking, laying stress on history of fever, weight loss and night sweats. Thorough clinical examination, laying stress on the presence of lymphadenopathy, splenomegaly and hepatomegaly. Abdominal ultrasonography for spleen, liver and lymph node enlargement. Diagnostic workup for B-CLPDs included complete blood counts (CBC) on Coulter LH 750 cell counter (Coulter, Electronics, Hialeah, FL, USA). Bone marrow (BM) aspiration with examination of Leishman stained peripheral blood (PB) and BM smears. Flow cytometric Immunophenotyping was performed on PB or BM samples using a standard panel of monoclonal antibodies (MoAb) (CD19, CD5, CD23, FMC7, CD79b, CD38, CD25, CD103, CD 123 and surface immunoglobulins Kappa and Lambda light chains) on Coulter Epics XL 3-color flow cytometer (Coulter, Electronics, Hialeah, FL, USA). Fluorescence in situ hybridization (FISH) for detection of t(11;14) for all MCL cases, and detection of cytogenetic abnormalities in CLL (deletions of 11q, and 17p). Diagnosis was

established in all cases on the basis of clinical, morphologic, immunophenotypic, Cytogenetic and histologic criteria, according to the WHO classification [4].

#### **Sampling**

Blood and BM aspiration samples were collected under complete aseptic conditions on ethylene diamine tetra-acetic acid, potassium salt (K<sub>2</sub>-EDTA) (1.2 mg/mL) for CBC and IPT. For FISH examination 1mL of BM aspirate was collected in tubes coated with lithium heparin.

#### **CD1d expression**

Bone marrow and/or peripheral blood samples anti coagulated with EDTA were kept at ambient room temperature and processed within 24 hours of collection using standard three colour staining and red cell lysis flow cytometric technique, samples were diluted 1:1 with phosphate buffer saline (PBS), pH 7.4 (Sigma Chemicals, St Louis), the final cell count suspension was adjusted between 5 and 10x 10<sup>9</sup>/L. For each sample two tubes were prepared one for the test and the other for the isotypic control, 50µL of diluted sample was delivered in each tube, 5µL of phycoerythrin (PE) labeled CD1d (CD1d kit, Abcam, San Francisco, CA, USA) monoclonal antibody was added to test tube and 5µL of isotypic match control was added to the control tube. Mixing by vortex was done, followed by incubation for 15 minutes at room temperature in the dark. Then the cells were washed with 2 mL PBS (centrifuged at 3000 rpm for 5 min), supernatant was discarded, followed by red cell lysis using 1.5 mL of NH<sub>4</sub>Cl solution buffered with KHCO<sub>3</sub> at pH 7.2 for 3 minutes at room temperature in the dark. Centrifugation was carried out; supernatant was discarded, followed by addition of 1mL PBS to cell pellet, and mixing by vortex. Analysis was performed on Coulter Epix XL flow cytometer (Coulter Corporation). If the tubes were not processed within 2 hours, 1mL of fixative (4g paraformaldehyde in 100 mL PBS with 0.1% Na azide, pH 7.4) was added and the tubes were kept at 4°C until analyzed within 24 hours. A minimum of 5000 events were studied. B

cells with CD1d expression were analyzed within gated CD19 positive B lymphocytes

#### **Cytogenetic Analysis**

Cytogenetic analysis by FISH technique using fluorophore labeled locus specific identifier (LSI) dual coloured probes (Vysis, Abbot, molecular diagnostics, USA): LSI TP53 Spectrum Orange/CEP 17 Spectrum Green Probe and LSI ATM Spectrum Orange/CEP 11 Spectrum Green Probe were applied on BM or PB samples for detection of p53 (17p13) deletions and ATM (11q22) deletions, respectively. Slides were prepared from material fixed in methanol-acetic acid. All probes were set up separately on different slides for each patient. Hybridization and detection of hybridization signals were performed according to the manufacturer's protocols. For each probe, at least 100 interphase cells were evaluated using the Chromoscan (CytoVision 2.7, Santa Clara, California, USA) in order to detect the target abnormalities. Images of FISH were captured through the program Mac Probe 4.4 of Power Gene System (Applied Imaging Corporation, USA). Two healthy volunteers were used as controls to check the signals of the probes used.

#### **Study group**

Patients were classified into CLL and other B-CLPDs subgroups according to WHO classification of B-cell neoplasms [4].

**CLL group** included 20 patients; 16 males and 4 females with a male to female ratio of 4:1. Their ages ranged from 44 to 75 years with a mean age of 59±9 years. Clinical stage was determined according to the Rai classification system [12]; stage 0 (9 cases), stage I-II (6 cases) and stage III-IV (5 cases).

**MCL group** included 10 patients; 6 males and 4 females with a male to female ratio of 1.5:1. Their ages ranged from 35 to 83 years with a mean age of 62±13 years.

**B-CLPDs group** included 13 patients; 8 males and 5 females with a male to female ratio of 1.6:1.

Their ages ranged from 45 to 75 years with a mean age of  $58 \pm 9$  years. According to morphologic, immunophenotypic criteria and tissue biopsies they were classified into 4 cases HCL including one case HCL-v, 5 cases SMZL including 2 cases SMZL with villous lymphocyte and 4 cases FL. Clinical and laboratory data of all studied patients are listed in Table 1

### Statistical Analysis

Data were analyzed using statistical program for Social Science (SPSS) version 18 IBM compatible PC. Quantitative data were described in the form of number and percentage, range, mean  $\pm$  standard deviation (SD). Qualitative data were described as frequency, and percentage. Student *t*, Mann-Whitney *U*, Chi square  $\chi^2$  were used for intergroup comparison. Pearson's correlation (*r*) was used for correlating data and Spearman's rank correlation coefficient (*r<sub>s</sub>*) was used for correlating between data when one or more is skewed. Receiver operating characteristic (ROC) curve was used to find out the overall diagnostic value of CD1d and to determine the best cut-off value with detection of sensitivity and specificity at this cut-off value. A *p* value  $<0.05$  was considered significant.

### Results

#### *CD1d expression in all studied B-CLPDs and controls*

CD1d was positively expressed on 100% of normal polyclonal B-lymphocytes of the control group with a median of 82.6% (IQR; 71.4-97.9), and median mean fluorescence intensity (MFI) of 3.35 (IQR; 2.2- 6.5). Median CD1d % expression among CLL patients was 16% (IQR; 9-31) and MFI was 1.19 (IQR; 1.05-1.3) which was significantly lower than the controls ( $p < 0.0001$ ) (Table 2).

Regarding CD5<sup>+</sup> B-CLPDs, CD1d positivity was detected in 90% of MCL with median CD1d % expression of 76.5% (IQR; 52-89) and MFI of 2.09 (IQR; 0.91-3.64). CD1d expression was significantly lower in CLL compared with MCL

( $p < 0.001$ ). No significant difference was found as regards MFI ( $p > 0.301$ ) (Table 1 and figure 1).

The median percentage of CD1d expression in CD5<sup>-</sup> B-CLPDs was 74.3% (IQR; 67%-85%) and MFI was 1.58 (IQR; 1.14-1.93). Median CD1d % expression was significantly lower in CLL compared with CD5<sup>-</sup> B-CLPDs ( $p < 0.001$ ), while no significant difference was found as regards MFI ( $p > 0.058$ ) (Table 1)

Using ROC curve analysis to detect the diagnostic significance of CD1d among CD5<sup>+</sup> B-CLPDs, revealed that CD1d levels  $\leq 38\%$  is a significant diagnostic marker that can differentiate between CLL and MCL cases, with 90% specificity, 100 % sensitivity, 95.2% positive predictive value (PPV) and 100% negative predictive value (NPP) (figure 2A). Likewise, CD1d expression  $<38\%$  can differentiate between CLL and CD5<sup>-</sup> B-CLPDs with 100% sensitivity, specificity, NPV and PPV (figure 2B). The high diagnostic accuracy of CD1d was further illustrated by the fact that the area under the curve was 0.915 when measured in CD5<sup>+</sup> case, and was 1.00 when measured in CD5<sup>-</sup> negative B-CLPDs patients, indicating an almost ideal diagnostic test.

No significant difference was found between MCL and other B-CLPD groups as regards their median CD1d % expression (76.5% [IQR; 52-89] for MCL versus 74.3% [IQR; 67%-85%] for other B-CLPDs,  $p = 0.829$ ), or their MFI (2.09 [0.91-3.64] for MCL versus 1.58 [1.14-1.93] for other B-CLPDs,  $p = 0.335$ ).

#### *CD1d expression in different prognostic CLL subgroups*

As shown in Table 2, median CD1d% expression was low among stage 0 CLL patients (1.32 [0.54 - 2.5]) compared with both stage I-II and stage III-IV CLL patients (8.9 [3.9-16.2 ] versus, 14.6 [9.7-32 ] ;  $p < 0.001$  ) (figure 3A).

CD38 positive CLL patients had significantly higher median CD1d % compared with CD38 negative CLL patients (31 [20-35] versus, 9 [7.5=12];  $p < 0.001$ ) (figure 3B).

The poor prognostic cytogenetic aberrations, 17p del and 11q del were detected in 40% of studied CLL patients, median CD1d% expression and IQR were significantly higher among patients with poor cytogenetic aberrations compared with CLL

patients without those cytogenetic abnormalities (15 [8.2 - 32 ] versus, 7.3 [2 - 16.6]; p=0.038) (figure 3C).

**Table1.** Clinical and laboratory data of all studied patients with B-CLPDs

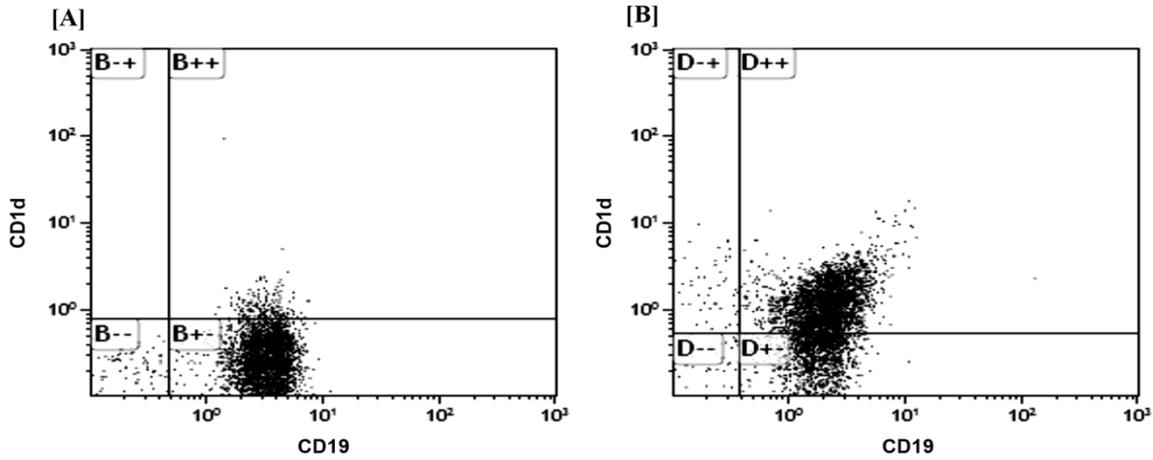
Parameter	CD5 positive B-CLPDs n=30		CD5 negative B-CLPDs n=13			CLL vs MCL	CLL vs other B- CLPDs
	CLL (n=20)	MCL (n=10)	HCL (n=4)	SMZL (n=5)	FL (n=4)	p-value	
Classification, n	CLL (n=20)	MCL (n=10)	HCL (n=4)	SMZL (n=5)	FL (n=4)	p-value	
Age (years), mean ± SD	59 ± 9	62 ± 13	58 ± 9			0.532	0.612
Male, n (%)	16 (80)	6 (76)	8 (61.2)				
Splenomegaly, n (%)	12 (60)	7 (70)	11 (84.6)			0.301	0.133
Hepatomegaly, n (%)	8 (40)	6 (60)	7 (53.8)			0.592	0.435
Lymphadenopathy, n (%)	8 (40)	10 (100)	5 (38.5)			0.002	0.930
WBCs (x10 <sup>9</sup> /L), median (IQR)	58.2 (20.2-98.9)	46.5 (24-61.7)	38 (5.8-50.4)			0.262	0.151
ALC (x10 <sup>9</sup> /L) , median (IQR)	49.9 (15.9-81)	29.3 (6.9-37)	19 (10.9-35.2)			0.074	0.068
Hb (gm/dL), mean ± SD	10.6 ± 1.54	8.8 ± 2.2	9.3 ± 1.6			0.020	0.024
Platelets (x10 <sup>9</sup> /L), mean ± SD	159.7 ± 79.3	142.4 ± 62.5	160.9 ± 79.9			0.554	0.967
Rai Staging system, n (%)							
Stage 0	9 (45)	-	-				
Stage I-II	6(30)	-	-				
Stage III-IV	5(25)	-	-				
FISH analysis							
t(11;14)/cyclinD1 positive	NA	10 (100)	-				
17p del and /or 11q22.3 del	8 (40)	-	-				
Without 17p and or/11q del	12 (60)	-	-				
CD38 positive							
> 30%	7 (35)	NA	-				
< 30%	13 (65)	NA	-				
CD1d expression, median (IQR)							
CD1d (%)	16 (9 - 31)	76.5 (52 - 89)	74.3 (67 - 85)			0.000	0.000
CD1d MFI	1.19 (1.05 - 1.3)	2.09 (0.91 - 3.64)	1.58 (1.14 - 1.93)			0.301	0.058

WBCs: white blood cell count, ALC: absolute lymphocytic count, Hb: hemoglobin, FISH: fluorescence in situ hybridization, del: deletion, MFI: mean fluorescence intensity, B-CLPDs: B chronic lymphoproliferative disorders, CLL: chronic lymphocytic leukemia, MCL: mantel cell leukemia, HCL: hairy cell leukemia, SMZL: splenic mantle zone lymphoma, FL: follicular lymphoma.

**Table 2.** CD1d expression among the controls and CLL subgroups

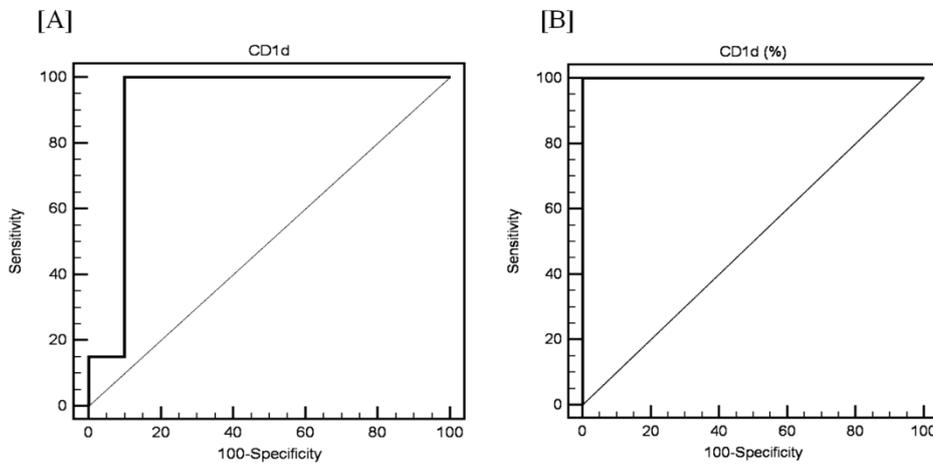
Variable	Median CD1d% (IQR)	p- value
Control group	82.6 (71.4 - 97.9)	0.000
CLL	16 (9 - 31)	
Rai - Staging		0.000
Stage 0	1.32 (0.54 - 2.5)	
Stage I-II	8.9 (3.9 - 16.2)	
Stage III-IV	14.6 (9.7 - 32)	
CD38 expression		0.000
positive CD38	31 (20 - 35)	
negative CD38	9 (7.5 - 12)	
FISH analysis		0.038
Poor prognostic (17p del and/or 11qdel)	15 ( 8.2 - 32)	
No 17p del or 11qdel	7.3 (2 - 16.6)	

CLL: chronic lymphocytic leukemia, FISH: fluorescence in situ hybridization, del: deletion.



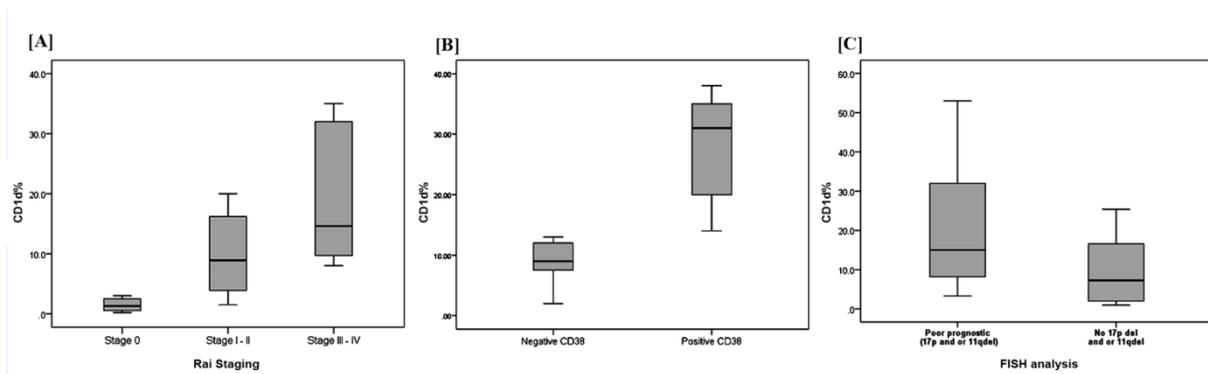
**Figure 1.** Representative plots of CD 1d expression in [A] A case of chronic lymphocytic leukemia (CLL). [B] A case of mantle cell lymphoma (MCL).

**Figure 1.** CD 1d expression in [A] CLL [B] MCL



**Figure 2.** Receiver Operating Curve (ROC) analysis of CD1d as diagnostic marker [A] Chronic lymphocytic leukemia (CLL) compared with mantle cell lymphoma (MCL). [B] CLL compared with CD5 negative B-CLPDs.

**Figure 2.** Receiver Operating Curve (ROC) analysis of CD1d as diagnostic marker [A] CLL compared with CD5 positive MCL. [B] CLL compared with CD5 negative other B-CLPDs.



**Figure 3.** CD1d expression among Different chronic lymphocytic leukemia (CLL) subgroups [A] CLL with different Rai Stages: stage 0, stage I/II, Stage III/IV. [B] CD38 positive and negative CLL patients. [C] CLL patients with poor prognostic cytogenetic aberrations and without cytogenetic abnormalities.

**Figure 3.** CD1d expression among different CLL subgroups [A] Different Rai Stages; stage 0, stage I/II and stage III/IV. [B] CD38 positive and CD38 negative CLL patients. [C] CLL patients with poor cytogenetic aberrations and without cytogenetic abnormalities.

## Discussion

CD1d is a MHC class I-like glycoprotein that presents phospholipids and glycolipids to NKT cells. A variety of tumor cell types express CD1d on the surface, particularly those of hematopoietic origin<sup>[11]</sup>. In the present study, the expression of CD1d in CLL cells and other B-CLPDs as well as normal B-lymphocytes has been investigated.

The present study showed lower CD1d expression in CLL patients compared with healthy controls. Consistent with our results Kotsianidis et al.<sup>[13]</sup> reported higher surface expression of CD1d in healthy controls than in CLL patients. A recent study reported that measuring CD1d by flow cytometer and quantitative reverse transcriptase PCR showed lower CD1d molecule and CD1d mRNA expression in B-cells of CLL patients than of healthy controls<sup>[14]</sup>. Zheng et al.<sup>[15]</sup> observed a 5-fold reduction of CD1d transcript levels in CLL in comparison with normal B cells by using oligonucleotide microarrays.

Our results demonstrated significantly lower CD1d% expression virtually restricted to CLL patients compared with MCL and other B-CLPDs. Using ROC curve analysis CD1d cut off value <38% was able to diagnose CLL and to differentiate between cases of CLL and MCL with high sensitivity and specificity.

The mechanism of CD1d down-regulation in CLL B-lymphocytes is unknown; however, up-regulation of the nuclear protein lymphoid enhancer-binding factor-1 (LEF-1) may be at least partially responsible for the low CD1d expression in CLL<sup>[13]</sup>. It has been demonstrated that the expression of gene encoding the human CD1d (CD1D) is regulated by LEF-1 that acts as a transcriptional repressor of the CD1D gene<sup>[16]</sup>. It was found that LEF-1 is highly expressed in CLL<sup>[17,18,19]</sup>. Moreover, high LEF-1 expression is associated with poor prognosis and disease progression<sup>[17]</sup>.

Finding new prognostic markers for CLL has always been and still is a challenge for investigators. Over the past decade, several prognostic markers of CLL B cells have been

added to the original staging systems of Rai and Binet<sup>[8]</sup>. CD38 was the first marker to be discovered and widely used<sup>[13,20]</sup>, high CD38 expression has been identified as an adverse prognostic marker<sup>[21]</sup>. Immunoglobulin heavy chain variable (IgVH) mutational status also confers an adverse prognosis<sup>[22]</sup>, and the presence of chromosomal deletions of 11q or 17p are predictive not only of an aggressive clinical course, but also of resistance to specific chemotherapy<sup>[23]</sup>, however, both tests are costly, relatively laborious and not available in all hematology departments<sup>[13]</sup>.

It is interesting that in contrast with the generally low CD1d expression on B lymphocytes in CLL cases, the results of this study showed higher CD1d expression level in CD38 positive CLL cases compared with CD38 negative ones, as well as in CLL patients with advanced disease stages. Previous few studies demonstrated an association between CD1d expression and the adverse immunophenotypic feature, namely CD38 expression in CLL patients<sup>[13,24]</sup>. By contrast, another research group detected no significant differences between Zap-70 positive and negative, CD38 positive and negative CLL sub groups<sup>[25]</sup>. Moreover, higher CD1d expression was detected in CLL patients with the unfavorable cytogenetic abnormalities; 11q23 and/or 17p deletions compared with CLL cases without these cytogenetic abnormalities. Bojarska-Junak et al.<sup>[14]</sup> demonstrated a significant association of CD1d transcripts, MFI of CD1d or the percentage of CD1d<sup>+</sup>/CD19<sup>+</sup> cells with the stage of disease, as well as, with del(11q22.3) and/or del(17p13.1).

In conclusion, CD1d is a useful diagnostic marker that can be used to differentiate CLL from MCL and other B-CLPDs. Higher CD1d expression in CLL is associated with poor prognosis and can define a subpopulation with more aggressive disease.

**Conflicts of interest:** nothing to declare.

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